

#7/Ext. of Mike  
Hawkins  
10/22/02

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)		Docket Number (Optional) ROC0001U
In re Application of <b>Phillips D. Rockwell</b>		
Application Number <b>09/590,797</b>	Filed <b>06/09/2000</b>	
For <b>ORBITAL VIBRATOR</b>		
Group Art Unit <b>2834</b>	Examiner <b>Thanh Lam</b>	

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☒ One month (37 CFR 1.17(a)(1))  
☐ Two months (37 CFR 1.17(a)(2))  
☐ Three months (37 CFR 1.17(a)(3))  
☐ Four months (37 CFR 1.17(a)(4))  
☐ Five months (37 CFR 1.17(a)(5))

RECEIVED  
OCT 21 2002  
TECHNOLOGY CENTER 2800  
\$ 110.00  
\$  
\$  
\$  
\$

- ☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ 55.00.  
☒ A check in the amount of the fee is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.  
☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number \_\_\_\_\_.  
I have enclosed a duplicate copy of this sheet.

- I am the ☐ applicant/inventor  
☐ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  
☒ attorney or agent of record.  
☐ attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

October 7, 2002

Date

*Carol D. Titus*  
Signature

Carol D. Titus, Reg. No. 38,436

Typed or printed name

10/18/2002 HVUONG1 00000115 09590797

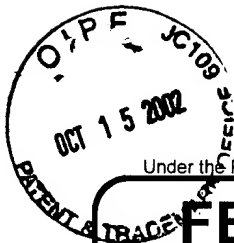
55.00 OP

02 FC:2251

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

215.00

## Complete if Known

Application Number	09/590,797
Filing Date	06/09/2000
First Named Inventor	Phillips D. Rockwell
Examiner Name	Thanh Lam
Group Art Unit	2834
Attorney Docket No.	ROC0001U

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
101 740	201 370		Utility filing fee
106 330	206 165		Design filing fee
107 510	207 255		Plant filing fee
108 740	208 370		Reissue filing fee
114 160	214 80		Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/>	-20** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	- 3** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent		<input type="text"/>	= <input type="text"/>

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
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103 18	203 9		Claims in excess of 20
102 84	202 42		Independent claims in excess of 3
104 280	204 140		Multiple dependent claim, if not paid
109 84	209 42		** Reissue independent claims over original patent
110 18	210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description
105 130	205 65		Surcharge - late filing fee or oath
127 50	227 25		Surcharge - late provisional filing fee or cover sheet
139 130	139 130		Non-English specification
147 2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination
112 920*	112 920*		Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action
115 110	215 55		Extension for reply within first month
116 400	216 200		Extension for reply within second month
117 920	217 460		Extension for reply within third month
118 1,440	218 720		Extension for reply within fourth month
128 1,960	228 980		Extension for reply within fifth month
119 320	219 160		Notice of Appeal
120 320	220 160		Filing a brief in support of an appeal
121 280	221 140		Request for oral hearing
138 1,510	138 1,510		Petition to institute a public use proceeding
140 110	240 55		Petition to revive - unavoidable
141 1,280	241 640		Petition to revive - unintentional
142 1,280	242 640		Utility issue fee (or reissue)
143 460	243 230		Design issue fee
144 620	244 310		Plant issue fee
122 130	122 130		Petitions to the Commissioner
123 50	123 50		Processing fee under 37 CFR 1.17(q)
126 180	126 180		Submission of Information Disclosure Stmt
581 40	581 40		Recording each patent assignment per property (times number of properties)
146 740	246 370		Filing a submission after final rejection (37 CFR § 1.129(a))
149 740	249 370		For each additional invention to be examined (37 CFR § 1.129(b))
179 740	279 370		Request for Continued Examination (RCE)
169 900	169 900		Request for expedited examination of a design application

Other fee (specify) 

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

215.00

## SUBMITTED BY

Name (Print/Type)

Carol D. Titus

Registration No.  
(Attorney/Agent)

38,436

Complete (if applicable)

Telephone

510-742-7417

Signature

Date

October 7, 2002

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